## DELAWARE DEPARTMENT OF JUSTICE JOB OPENING

Opening Date: July 20, 2018 Closing Date: July 27, 2018

## Assistant Unit Head (Deputy Attorney General) Fraud & Consumer Protection Division, Investor Protection Unit, New Castle County

## Description of Duties:

The Delaware Department of Justice is seeking an exceptional and highly motivated individual to assist in leadership within the Investor Protection Unit, Fraud & Consumer Protection Division in the New Castle County, as an Assistant Unit Head/Deputy Attorney General.

This Deputy Attorney General will be part of leading the team responsible for enforcing the provisions of the Delaware Securities Act (6 Del.C.ch.73), which regulates the offer and sale of securities and the activities of investment professionals in the State of Delaware. The Unit investigates and prosecutes (administratively, civilly, and criminally) violations of the Act and administers provisions of the Act relating to the registration and licensing of securities and investment professionals.

This is a challenging position that provides an opportunity to pursue large and complex investigations and cases against some of the best attorneys in the country. This Deputy Attorney General should be able to work independently and meet deadlines. Solid academic achievement, relevant work experience, and a familiarity with securities transactions and financial services is preferred. We seek an individual with a strong commitment to working in the public interest.

The Assistant Unit Head will assist the Director in the day-to-day management of the Investor Protection Unit, which is comprised of four other Deputy Attorneys General, four Special Investigators, one Forensic Accountant, one Administrative Specialist, two Paralegals, and two Registration Specialists. Will be expected to oversee investigations of alleged violations of the Delaware Securities Act, legal actions to enforce the provisions of the Delaware Securities Act, criminal actions involving securities fraud and related conduct, the registration of firms and individuals engaged in the securities business in Delaware, examinations of investment advisers and broker dealers, registration of securities offered for sale in Delaware (if they are not exempt from registration), and community outreach and education to promote financial literacy and safe investing. Will participate in multi-state investigations, actions and settlements and is Delaware's representative in the North American Securities Administrators Association, or NASAA.

Applicants must be admitted to the Delaware bar and in good standing.

<u>Internal applicants</u>: Please send an updated Resume or summary of work experience to the Director of Investor Protection Unit and Director of Human Resources.

<u>External applicants</u>: Please submit a Resume and completed State Application to Human Resources, Delaware Department of Justice, 820 N. French Street, 6<sup>th</sup> Floor, Carvel State Building, Wilmington, DE 19801 or E-mail to <u>DOJHR@state.de.us</u> or Fax to 302-577-5866. EOE.